

28188

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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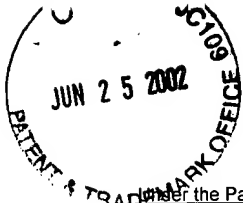
|   |                        |                  |
|---|------------------------|------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 09/710,489       |
|   | Filing Date            | 11/10/2000       |
|   | First Named Inventor   | Kenneth A. Honer |
|   | Group Art Unit         | 2818             |
|   | Examiner Name          | David Vu         |
| Total Number of Pages in This Submission  | Attorney Docket Number | S99-190          |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>Credit card payment form</b> |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Katharina Wang Schuster, Reg. No. 50,000 |
| Signature                                  | <i>Katharina Schuster</i>                |
| Date                                       | June 21, 2002                            |

| CERTIFICATE OF MAILING   |                          |              |
|--|--------------------------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 6/21/02 |                          |              |
| Typed or printed name  | Jacqueline Guerra        |              |
| Signature  | <i>Jacqueline Guerra</i> | Date 6/21/02 |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/710,489       |
| Filing Date          | 11/10/2000       |
| First Named Inventor | Kenneth A. Honer |
| Examiner Name        | David Vu         |
| Group Art Unit       | 2818             |
| Attorney Docket No.  | S99-190          |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 740                        | 201 370                    | Utility filing fee     |          |
| 106 | 330                        | 206 165                    | Design filing fee      |          |
| 107 | 510                        | 207 255                    | Plant filing fee       |          |
| 108 | 740                        | 208 370                    | Reissue filing fee     |          |
| 114 | 160                        | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES

|                    | Extra Claims   | Fee from below | Fee Paid |
|--------------------|--|----------------|----------|
| Total Claims       | <input type="text"/> -20** = <input type="text"/> X <input type="text"/> |                |          |
| Independent Claims | <input type="text"/> -3** = <input type="text"/> X <input type="text"/>  |                |          |
| Multiple Dependent | <input type="text"/>   |                |          |

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|-----|----------------------------|----------------------------|--|
| 103 | 18                         | 203 9                      | Claims in excess of 20                                     |
| 102 | 84                         | 202 42                     | Independent claims in excess of 3                          |
| 104 | 280                        | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 | 84                         | 209 42                     | ** Reissue independent claims over original patent         |
| 110 | 18                         | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130                        | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 | 50                         | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 | 130                        | 139 130                    | Non-English specification  |          |
| 147 | 2,520                      | 147 2,520                  | For filing a request for ex parte reexamination                            |          |
| 112 | 920*                       | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 | 1,840*                     | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 | 110                        | 215 55                     | Extension for reply within first month                                     |          |
| 116 | 400                        | 216 200                    | Extension for reply within second month                                    |          |
| 117 | 920                        | 217 460                    | Extension for reply within third month                                     |          |
| 118 | 1,440                      | 218 720                    | Extension for reply within fourth month                                    |          |
| 128 | 1,960                      | 228 980                    | Extension for reply within fifth month                                     |          |
| 119 | 320                        | 219 160                    | Notice of Appeal   |          |
| 120 | 320                        | 220 160                    | Filing a brief in support of an appeal                                     |          |
| 121 | 280                        | 221 140                    | Request for oral hearing   |          |
| 138 | 1,510                      | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 | 110                        | 240 55                     | Petition to revive - unavoidable   |          |
| 141 | 1,280                      | 241 640                    | Petition to revive - unintentional   |          |
| 142 | 1,280                      | 242 640                    | Utility issue fee (or reissue)   |          |
| 143 | 460                        | 243 230                    | Design issue fee   |          |
| 144 | 620                        | 244 310                    | Plant issue fee  |          |
| 122 | 130                        | 122 130                    | Petitions to the Commissioner  |          |
| 123 | 50                         | 123 50                     | Processing fee under 37 CFR 1.17(q)  |          |
| 126 | 180                        | 126 180                    | Submission of Information Disclosure Stmt                                  | 180.00   |
| 581 | 40                         | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 | 740                        | 246 370                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 | 740                        | 249 370                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 | 740                        | 279 370                    | Request for Continued Examination (RCE)                                    |          |
| 169 | 900                        | 169 900                    | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180.00

## SUBMITTED BY

|                   |                           |                                   |               |           |              |
|-------------------|---------------------------|-----------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Katharina Wang Schuster   | Registration No. (Attorney/Agent) | 50,000        | Telephone | 408-260-7300 |
| Signature         | <i>Katharina Schuster</i> | Date                              | June 21, 2002 |           |              |

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